

# Biautomata for $k$ -Piecewise Testable Languages

Ondřej Klíma and Libor Polák

Institute of Mathematics and Statistics  
Masaryk University, Brno  
Czech Republic

MeLa 2012 (DLT 2012)

# Topic of the Talk

- **Biautomata**

# Topic of the Talk

- **Biautomata** – a new notion (Klíma, Polák – NCMA'11).

# Topic of the Talk

- **Biautomata** – a new notion (Klíma, Polák – NCMA'11).
- **Piecewise testable languages**

# Topic of the Talk

- **Biautomata** – a new notion (Klíma, Polák – NCMA'11).
- **Piecewise testable languages**
  - are studied in the algebraic theory of regular languages,

# Topic of the Talk

- **Biautomata** – a new notion (Klíma, Polák – NCMA'11).
- **Piecewise testable languages**
  - are studied in the algebraic theory of regular languages,
  - were characterized by Simon (via syntactic monoids),

# Topic of the Talk

- **Biautomata** – a new notion (Klíma, Polák – NCMA'11).
- **Piecewise testable languages**
  - are studied in the algebraic theory of regular languages,
  - were characterized by Simon (via syntactic monoids),
  - form level 1 in the Straubing-Thérien hierarchy of star-free languages.

# Topic of the Talk

- **Biautomata** – a new notion (Klíma, Polák – NCMA'11).
- **Piecewise testable languages**
  - are studied in the algebraic theory of regular languages,
  - were characterized by Simon (via syntactic monoids),
  - form level 1 in the Straubing-Thérien hierarchy of star-free languages.

Note: An effective characterization of level 2 is an open problem for 40 years.

# Outline

- Introduction
- Biautomata
- Our New Results

# I. Introduction

# Piecewise Testable Languages

## Definition

A language  $L$  over an alphabet  $A$  is called **piecewise testable** if it is a Boolean combination of languages of the form

$$A^* a_1 A^* a_2 A^* \dots A^* a_\ell A^*, \text{ where } a_1, \dots, a_\ell \in A, \ell \geq 0. \quad (*)$$

# Piecewise Testable Languages

## Definition

A language  $L$  over an alphabet  $A$  is called **piecewise testable** if it is a Boolean combination of languages of the form

$$A^* a_1 A^* a_2 A^* \dots A^* a_\ell A^*, \text{ where } a_1, \dots, a_\ell \in A, \ell \geq 0. \quad (*)$$

## Theorem (Simon '72)

*A regular language  $L$  is piecewise testable if and only if the syntactic monoid  $M(L)$  of  $L$  is  $\mathcal{J}$ -trivial.*

# Piecewise Testable Languages

## Definition

A language  $L$  over an alphabet  $A$  is called **piecewise testable** if it is a Boolean combination of languages of the form

$$A^* a_1 A^* a_2 A^* \dots A^* a_\ell A^*, \text{ where } a_1, \dots, a_\ell \in A, \ell \geq 0. \quad (*)$$

## Theorem (Simon '72)

*A regular language  $L$  is piecewise testable if and only if the syntactic monoid  $M(L)$  of  $L$  is  $\mathcal{J}$ -trivial.*

## Definition

A language  $L$  is called  **$k$ -piecewise testable** if  $L$  can be written as a Boolean combination of languages of the form  $(*)$  with  $\ell \leq k$ .

# $k$ -piecewise Testable Languages

- **Question** (for each  $k$ ) : The  **$k$ -piecewise testability**.

# $k$ -piecewise Testable Languages

- **Question** (for each  $k$ ) : The  **$k$ -piecewise testability**.
- **Solution**: The least  $k$  such that a given piecewise testable language  $L$  is  $k$ -piecewise testable can be found by brute-force algorithms.

# $k$ -piecewise Testable Languages

- **Question** (for each  $k$ ) : The  **$k$ -piecewise testability**.
- **Solution**: The least  $k$  such that a given piecewise testable language  $L$  is  $k$ -piecewise testable can be found by brute-force algorithms.
  - For each fixed  $k$  and a fixed alphabet  $A$ , there are only finitely many  $k$ -piecewise testable languages over  $A$ .

# $k$ -piecewise Testable Languages

- **Question** (for each  $k$ ) : The  **$k$ -piecewise testability**.
- **Solution**: The least  $k$  such that a given piecewise testable language  $L$  is  $k$ -piecewise testable can be found by brute-force algorithms.
  - For each fixed  $k$  and a fixed alphabet  $A$ , there are only finitely many  $k$ -piecewise testable languages over  $A$ .
  - A bit sophisticated algorithm: via Eilenberg's correspondence (using relatively free monoids).

# $k$ -piecewise Testable Languages

- **Question** (for each  $k$ ) : The  **$k$ -piecewise testability**.
- **Solution**: The least  $k$  such that a given piecewise testable language  $L$  is  $k$ -piecewise testable can be found by brute-force algorithms.
  - For each fixed  $k$  and a fixed alphabet  $A$ , there are only finitely many  $k$ -piecewise testable languages over  $A$ .
  - A bit sophisticated algorithm: via Eilenberg's correspondence (using relatively free monoids).

Both methods are unrealistic in practice.

- Also using identities does not help (no finite bases in general).

# Basic Goal

Our ambition, in this contribution, is **not** to decide the  $k$ -piecewise testability in a reasonable computational time.

# Basic Goal

Our ambition, in this contribution, is **not** to decide the  $k$ -piecewise testability in a reasonable computational time.

Instead of that, for a given piecewise testable language  $L$ , we would like to find a good estimate, i.e. a (possibly small) number  $k$ , such that  $L$  is  **$k$ -piecewise testable**.

# Basic Goal

Our ambition, in this contribution, is **not** to decide the  $k$ -piecewise testability in a reasonable computational time.

Instead of that, for a given piecewise testable language  $L$ , we would like to find a good estimate, i.e. a (possibly small) number  $k$ , such that  $L$  is  **$k$ -piecewise testable**.

- Simon:  $k = 2n - 1$  where  $n$  is the maximal length of a  $\mathcal{T}$ -chain in the syntactic monoid of  $L$ .

# Basic Goal

Our ambition, in this contribution, is **not** to decide the  $k$ -piecewise testability in a reasonable computational time.

Instead of that, for a given piecewise testable language  $L$ , we would like to find a good estimate, i.e. a (possibly small) number  $k$ , such that  $L$  is  **$k$ -piecewise testable**.

- Simon:  $k = 2n - 1$  where  $n$  is the maximal length of a  $\mathcal{T}$ -chain in the syntactic monoid of  $L$ .
- OK:  $k = \ell + r - 2$  where  $\ell$  and  $r$  are the maximal lengths of chains for the orderings  $\leq_{\mathcal{L}}$  and  $\leq_{\mathcal{R}}$ .

# Basic Goal

Our ambition, in this contribution, is **not** to decide the  $k$ -piecewise testability in a reasonable computational time.

Instead of that, for a given piecewise testable language  $L$ , we would like to find a good estimate, i.e. a (possibly small) number  $k$ , such that  $L$  is  **$k$ -piecewise testable**.

- Simon:  $k = 2n - 1$  where  $n$  is the maximal length of a  $\mathcal{T}$ -chain in the syntactic monoid of  $L$ .
- OK:  $k = \ell + r - 2$  where  $\ell$  and  $r$  are the maximal lengths of chains for the orderings  $\leq_{\mathcal{L}}$  and  $\leq_{\mathcal{R}}$ .

Note:  $\ell \leq n$  and  $r \leq n$  and hence  $\ell + r - 2 < 2n - 1$ .

# Basic Goal

Our ambition, in this contribution, is **not** to decide the  $k$ -piecewise testability in a reasonable computational time.

Instead of that, for a given piecewise testable language  $L$ , we would like to find a good estimate, i.e. a (possibly small) number  $k$ , such that  $L$  is  **$k$ -piecewise testable**.

- Simon:  $k = 2n - 1$  where  $n$  is the maximal length of a  $\mathcal{T}$ -chain in the syntactic monoid of  $L$ .
- OK:  $k = \ell + r - 2$  where  $\ell$  and  $r$  are the maximal lengths of chains for the orderings  $\leq_{\mathcal{L}}$  and  $\leq_{\mathcal{R}}$ .

Note:  $\ell \leq n$  and  $r \leq n$  and hence  $\ell + r - 2 < 2n - 1$ .

We found a different proof (OK+LP: NCMA'11) of Simon's result using a notion of biautomaton.

# Biautomaton Characterization

## Theorem (OK+LP '11)

*A language  $L$  is piecewise testable if and only if its canonical biautomaton  $\mathcal{C}_L$  of  $L$  is acyclic.*

- Note: Loops are not considered as cycles.

# Biautomaton Characterization

## Theorem (OK+LP '11)

*A language  $L$  is piecewise testable if and only if its canonical biautomaton  $\mathcal{C}_L$  of  $L$  is acyclic.*

- Note: Loops are not considered as cycles.
- The core of the proof was to show that if  $\mathcal{C}_L$  has  $m$  states then  $L$  is  $2m$ -piecewise testable.

# Biautomaton Characterization

## Theorem (OK+LP '11)

*A language  $L$  is piecewise testable if and only if its canonical biautomaton  $\mathcal{C}_L$  of  $L$  is acyclic.*

- Note: Loops are not considered as cycles.
- The core of the proof was to show that if  $\mathcal{C}_L$  has  $m$  states then  $L$  is  $2m$ -piecewise testable.
- Here we improve this result in two directions:
  - We eliminate the coefficient  $2$ ,
  - We replace the size of  $\mathcal{C}_L$  by the **depth** of the biautomaton.

A **depth** of an acyclic biautomaton  $\mathcal{B}$  is the length of the longest simple path in  $\mathcal{B}$ .

# Main Result

The main result of our contribution:

## Theorem

*Let  $L$  be a piecewise testable language with an (acyclic) canonical biautomaton of depth  $k$ . Then  $L$  is  $k$ -piecewise testable.*

## II. Biautomata

# Informal Description of Biautomata



# Informal Description of Biautomata



- The heads read symbols alternately, not depending on the current state of the finite control or on the symbol read from the tape.

# Informal Description of Biautomata



- The heads read symbols alternately, not depending on the current state of the finite control or on the symbol read from the tape.
- The acceptance of a word depends neither on the position, in which the heads meet, nor on the sequence of states the finite control goes through.

# Formal Definition of Biautomata

## Definition (OK+LP – NCMA 2011)

A *biautomaton* is a sextuple  $\mathcal{B} = (Q, A, \cdot, \circ, i, T)$  where

- (1)  $Q$  is a non-empty finite set of **states**,
- (2)  $A$  is a finite alphabet,
- (3)  $\cdot : Q \times A \rightarrow Q$  is a **left action**,
- (4)  $\circ : Q \times A \rightarrow Q$  is a **right action**,
- (5)  $i \in Q$  is the **initial** state,
- (6)  $T \subseteq Q$  is the set of **terminal** states,
- (7)  $(q \cdot a) \circ b = (q \circ b) \cdot a$  for each  $q \in Q$  and  $a, b \in A$ ,
- (8)  $q \cdot a \in T$  if and only if  $q \circ a \in T$  for each  $q \in Q$  and  $a \in A$ .

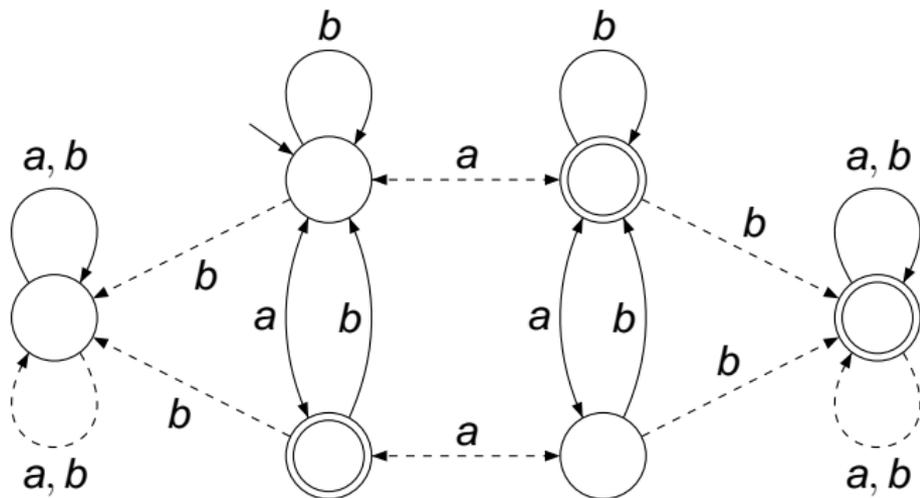
# Formal Definition of Biautomata

## Definition (OK+LP – NCMA 2011)

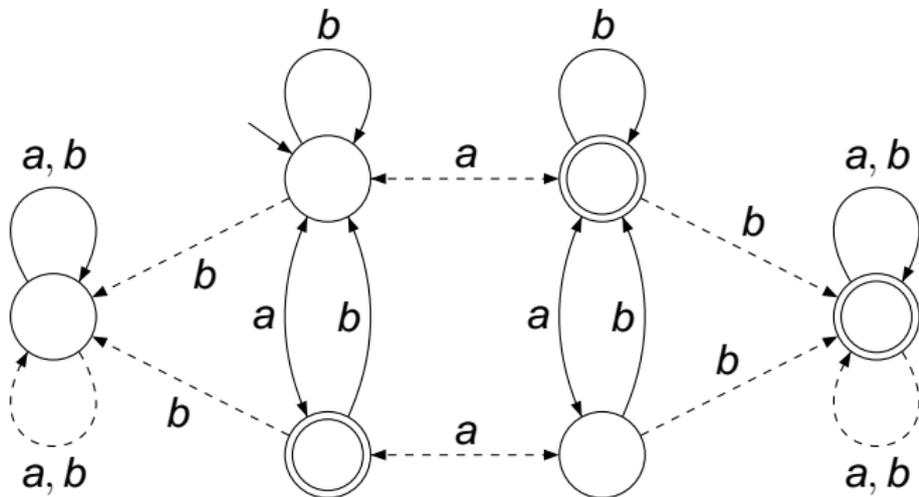
A *biautomaton* is a sextuple  $\mathcal{B} = (Q, A, \cdot, \circ, i, T)$  where

- (1)  $Q$  is a non-empty finite set of **states**,
- (2)  $A$  is a finite alphabet,
- (3)  $\cdot : Q \times A \rightarrow Q$  is a **left action**,
- (4)  $\circ : Q \times A \rightarrow Q$  is a **right action**,
- (5)  $i \in Q$  is the **initial** state,
- (6)  $T \subseteq Q$  is the set of **terminal** states,
- (7')  $(q \cdot u) \circ v = (q \circ v) \cdot u$  for each  $q \in Q$  and  $u, v \in A^*$ ,
- (8')  $q \cdot u \in T$  if and only if  $q \circ u \in T$  for each  $q \in Q$  and  $u \in A^*$ .

# Example 1

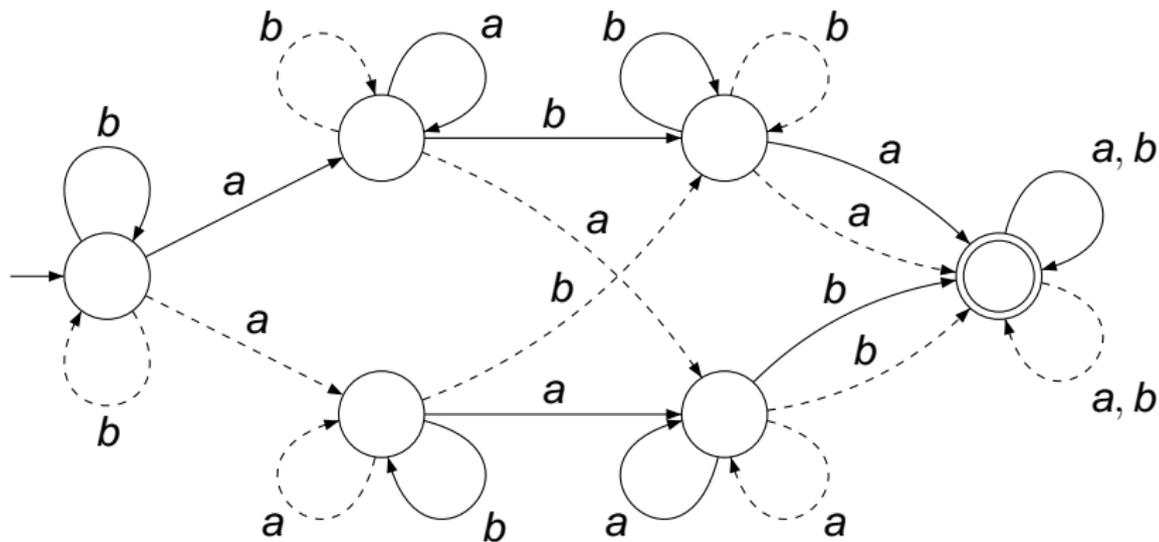


# Example 1

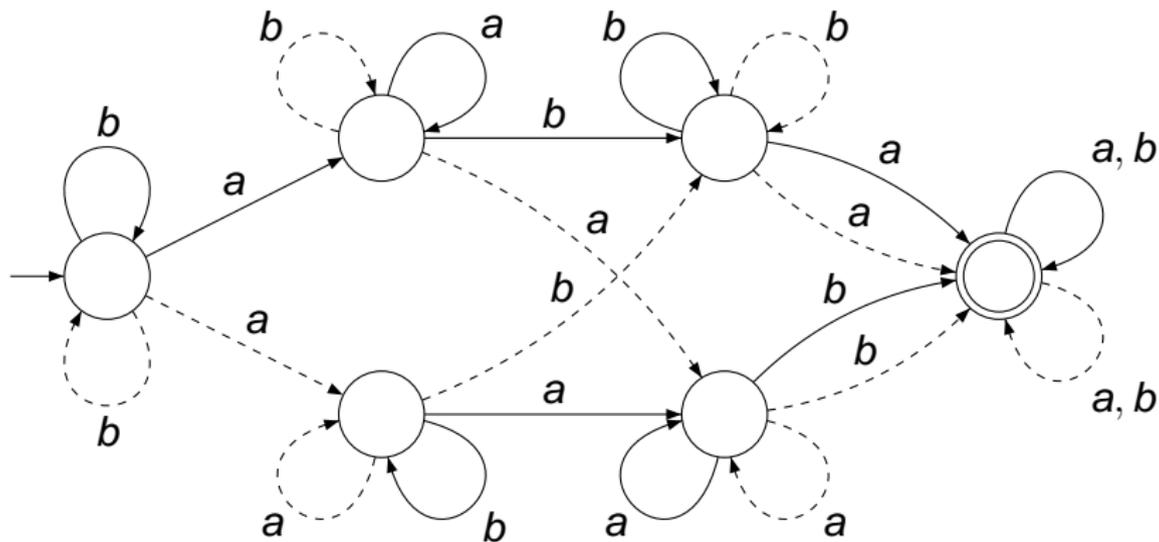


The diagram represents a biautomaton; it is not acyclic.

# Example 2

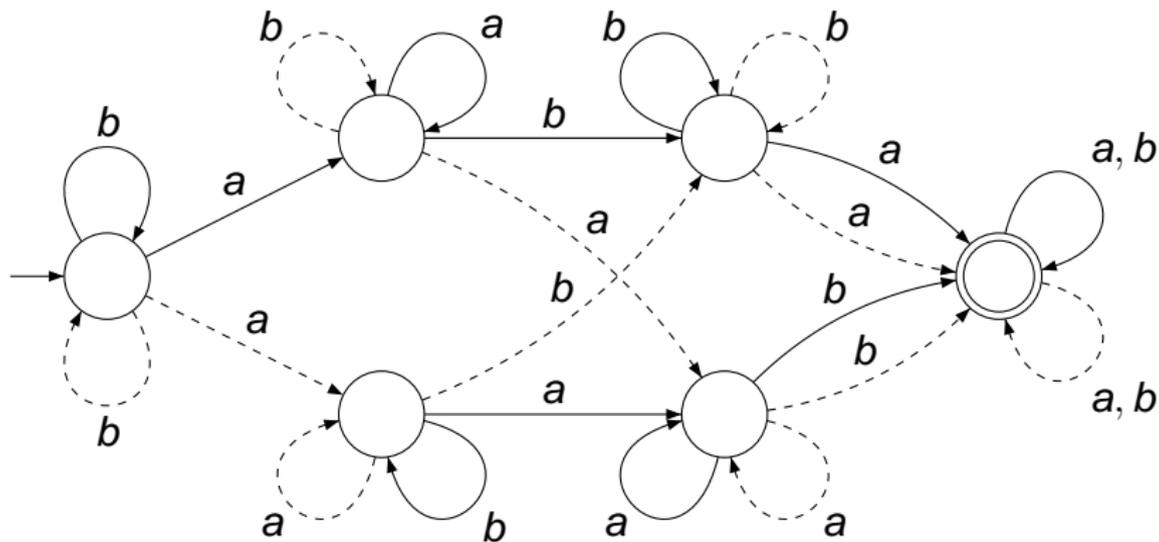


## Example 2



The biautomaton is acyclic.

## Example 2



The biautomaton is acyclic. Its depth is 3.

# Acceptance of an Input Word

- The biautomaton  $\mathcal{B}$  **accepts** a given word  $u \in A^*$  if  $i \cdot u \in T$ .

# Acceptance of an Input Word

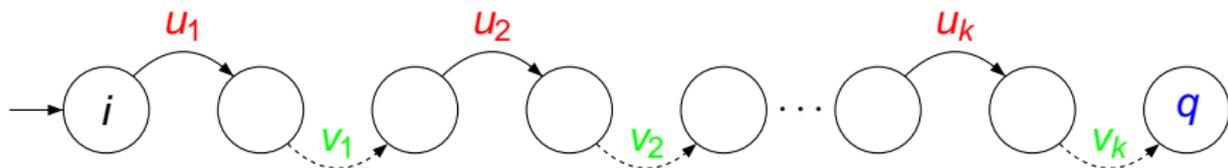
- The biautomaton  $\mathcal{B}$  **accepts** a given word  $u \in A^*$  if  $i \cdot u \in T$ .
- $\mathcal{B}$  accepts  $u \in A^*$  iff  $i \circ u \in T$ .

# Acceptance of an Input Word

- The biautomaton  $\mathcal{B}$  **accepts** a given word  $u \in A^*$  if  $i \cdot u \in T$ .
- $\mathcal{B}$  accepts  $u \in A^*$  iff  $i \circ u \in T$ .
- But  $\mathcal{B}$  can read the word  $u$  in many other ways:

# Acceptance of an Input Word

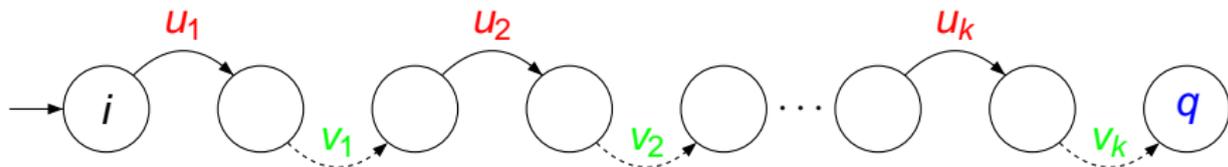
- The biautomaton  $\mathcal{B}$  **accepts** a given word  $u \in A^*$  if  $i \cdot u \in T$ .
- $\mathcal{B}$  accepts  $u \in A^*$  iff  $i \circ u \in T$ .
- But  $\mathcal{B}$  can read the word  $u$  in many other ways:  
We can divide  $u = u_1 u_2 \dots u_k v_k \dots v_2 v_1$  arbitrarily, where  $u_1, \dots, v_1 \in A^*$ , and we read  $u_1$  from left first, then  $v_1$  from right, then  $u_2$  from left, and so on.



# Acceptance of an Input Word

- The biautomaton  $\mathcal{B}$  **accepts** a given word  $u \in A^*$  if  $i \cdot u \in T$ .
- $\mathcal{B}$  accepts  $u \in A^*$  iff  $i \circ u \in T$  iff  $q \in T$ .
- But  $\mathcal{B}$  can read the word  $u$  in many other ways:

We can divide  $u = u_1 u_2 \dots u_k v_k \dots v_2 v_1$  arbitrarily, where  $u_1, \dots, v_1 \in A^*$ , and we read  $u_1$  from left first, then  $v_1$  from right, then  $u_2$  from left, and so on.



$$q = (((\dots (((i \cdot u_1) \circ v_1) \cdot u_2) \circ v_2) \dots) \cdot u_k) \circ v_k).$$

# Basic Properties of Biautomata

- The part  $\{i \cdot u \mid u \in A^*\} \subseteq Q$  together with the left actions is a DFA, which recognizes the same language.

# Basic Properties of Biautomata

- The part  $\{i \cdot u \mid u \in A^*\} \subseteq Q$  together with the left actions is a DFA, which recognizes the same language.
- From a finite deterministic automaton one can construct a biautomaton which recognizes the same language.

# Basic Properties of Biautomata

- The part  $\{i \cdot u \mid u \in A^*\} \subseteq Q$  together with the left actions is a DFA, which recognizes the same language.
- From a finite deterministic automaton one can construct a biautomaton which recognizes the same language.
- Biautomata recognize exactly regular languages.

# Basic Properties of Biautomata

- The part  $\{i \cdot u \mid u \in A^*\} \subseteq Q$  together with the left actions is a DFA, which recognizes the same language.
- From a finite deterministic automaton one can construct a biautomaton which recognizes the same language.
- Biautomata recognize exactly regular languages.
- There is a **minimal biautomaton** recognizing a given regular language  $L$  and it is unique up to isomorphism.

# Basic Properties of Biautomata

- The part  $\{i \cdot u \mid u \in A^*\} \subseteq Q$  together with the left actions is a DFA, which recognizes the same language.
- From a finite deterministic automaton one can construct a biautomaton which recognizes the same language.
- Biautomata recognize exactly regular languages.
- There is a **minimal biautomaton** recognizing a given regular language  $L$  and it is unique up to isomorphism.

The so called **canonical biautomaton** is an analogy of Brzozowski's construction of a minimal complete deterministic automaton. It uses **two-sided derivatives**:

# Canonical Biautomaton

For a language  $L \subseteq A^*$  and  $u, v \in A^*$ , we define

$$u^{-1}Lv^{-1} = \{ w \in A^* \mid uwv \in L \}, \quad \mathcal{C}_L = \{ u^{-1}Lv^{-1} \mid u, v \in A^* \}.$$

We define  $\mathcal{C}_L = (\mathcal{C}_L, A, \cdot, \circ, L, T)$ , where

- $q \cdot a = a^{-1}q$ ,
- $q \circ a = qa^{-1}$ ,
- $u^{-1}Lv^{-1} \in T$  iff  $\lambda \in u^{-1}Lv^{-1}$  (iff  $uv \in L$ ).

# Canonical Biautomaton

For a language  $L \subseteq A^*$  and  $u, v \in A^*$ , we define

$$u^{-1}Lv^{-1} = \{ w \in A^* \mid uwv \in L \}, \quad \mathcal{C}_L = \{ u^{-1}Lv^{-1} \mid u, v \in A^* \}.$$

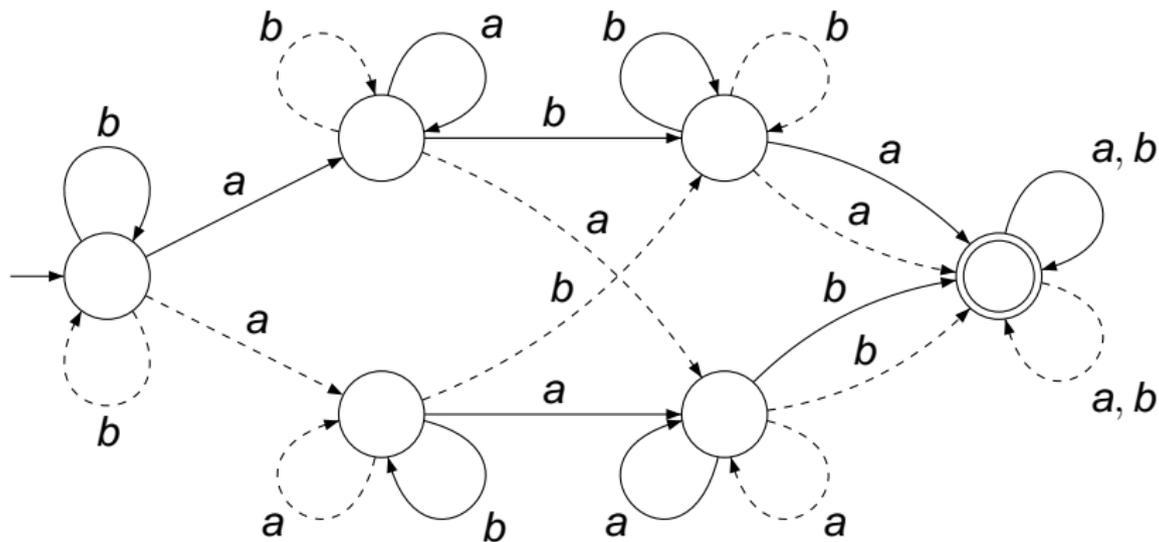
We define  $\mathcal{C}_L = (\mathcal{C}_L, A, \cdot, \circ, L, T)$ , where

- $q \cdot a = a^{-1}q$ ,
- $q \circ a = qa^{-1}$ ,
- $u^{-1}Lv^{-1} \in T$  iff  $\lambda \in u^{-1}Lv^{-1}$  (iff  $uv \in L$ ).

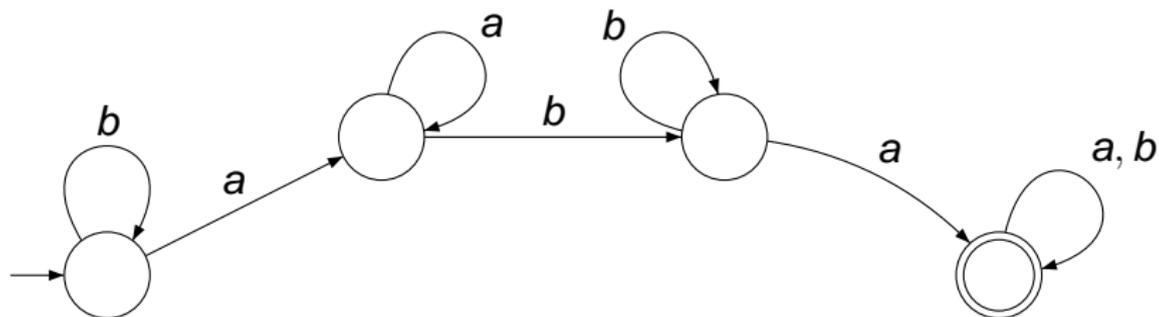
## Lemma

*For each regular language  $L$  over  $A$ , the structure  $\mathcal{C}_L$  is a biautomaton, which recognizes the language  $L$  and it is minimal.*

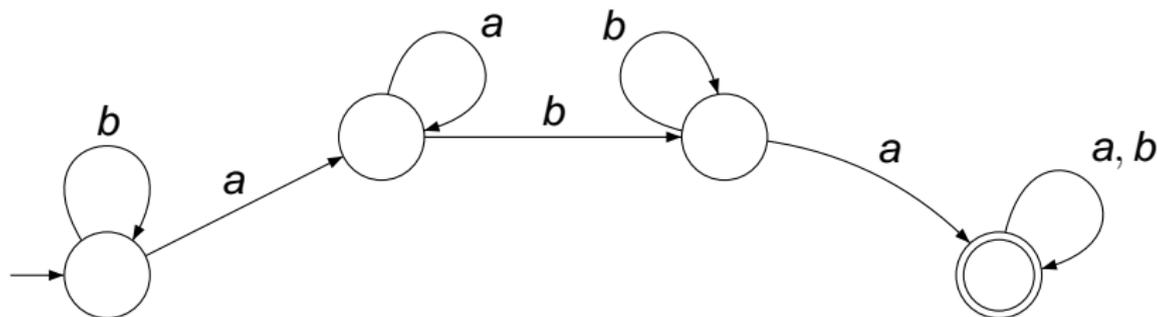
# Example 2



## Example 2

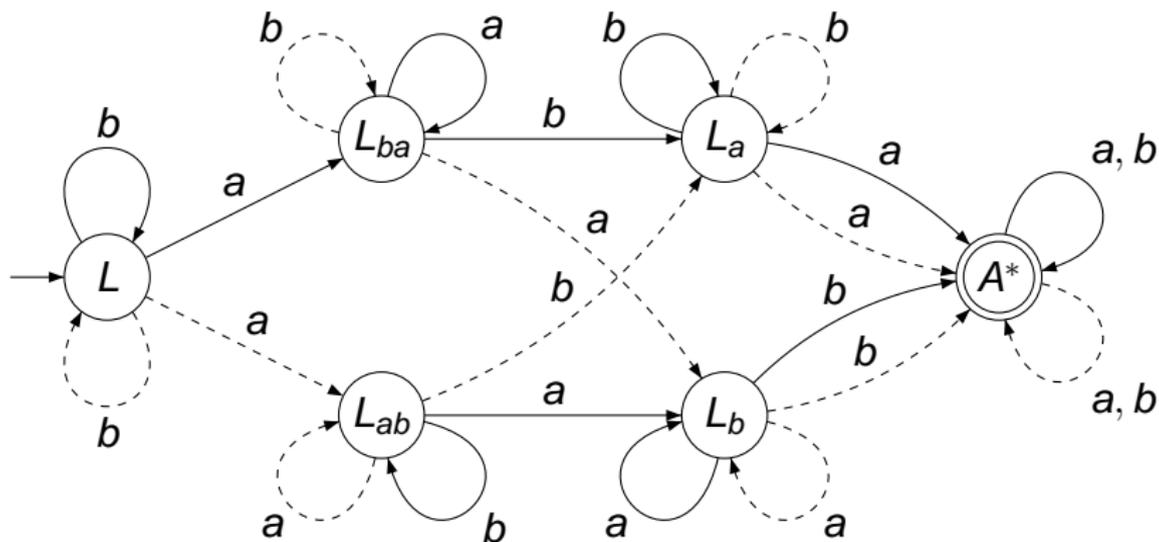


## Example 2



The (bi)automaton recognizes  $L = L_{aba} = A^* a A^* b A^* a A^*$ .

## Example 2



$$L = L_{aba} = A^*aA^*bA^*aA^*, \quad a^{-1}L = A^*bA^*aA^* = L_{ba},$$

$$La^{-1} = A^*aA^*bA^* = L_{ab}, \quad a^{-1}L_{ab} = L_b, \dots$$

# III. Our New Results

# Proof of the Main Result

Recall the formulation of the main result:

## Theorem

*Let  $L$  be a piecewise testable language with an (acyclic) canonical biautomaton of depth  $k$ . Then  $L$  is  $k$ -piecewise testable.*

# Proof of the Main Result

Recall the formulation of the main result:

## Theorem

*Let  $L$  be a piecewise testable language with an (acyclic) canonical biautomaton of depth  $k$ . Then  $L$  is  $k$ -piecewise testable.*

First we need a characterization of  $k$ -piecewise testable languages:

For  $u, v \in A^*$ , the meaning  $u \sim_k v$  is that  $u$  and  $v$  have the same subwords of lengths  $\leq k$ .

# Proof of the Main Result

Recall the formulation of the main result:

## Theorem

*Let  $L$  be a piecewise testable language with an (acyclic) canonical biautomaton of depth  $k$ . Then  $L$  is  $k$ -piecewise testable.*

First we need a characterization of  $k$ -piecewise testable languages:

For  $u, v \in A^*$ , the meaning  $u \sim_k v$  is that  $u$  and  $v$  have the same subwords of lengths  $\leq k$ .

## Lemma

*A language  $L$  is  $k$ -piecewise testable if and only if  $L$  is a union of classes of the partition  $A^* / \sim_k$ .*

# Proof of the Main Result

The main result is a consequence on the following:

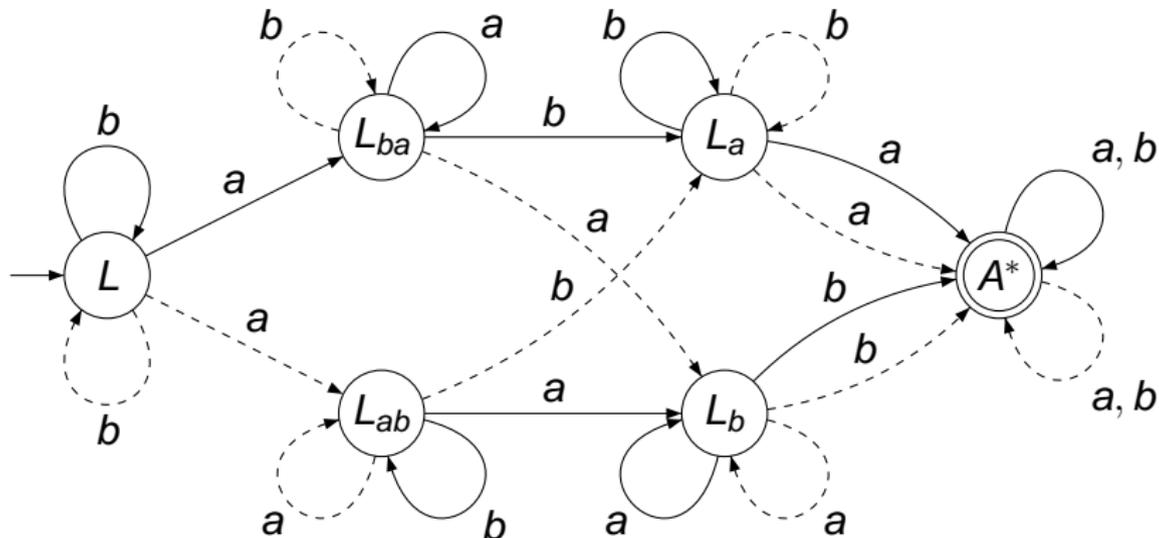
## Proposition

*Let  $\mathcal{B} = (Q, A, \cdot, \circ, i, T)$  be an acyclic biautomaton with all states reachable and with depth  $\mathcal{B} = \ell$ . Then, for every  $u, v \in A^*$  such that  $u \sim_\ell v$ , we have*

$$u \in L_{\mathcal{B}} \quad \text{if and only if} \quad v \in L_{\mathcal{B}}.$$

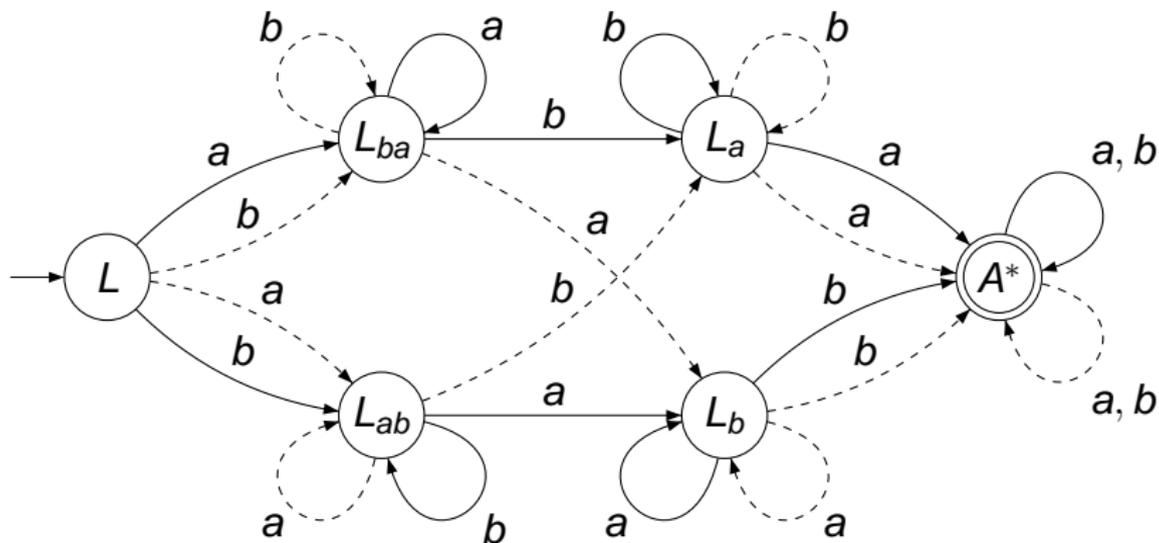
- The proof runs by induction with respect to  $\ell$ .
- It is quite technically involved.
- A sketch could be found in Proceedings of DLT and a full version is placed in the first author's home page.

# Example 2



The biautomaton is acyclic of depth 3. It recognizes the 3-piecewise language  $L = L_{aba}$  which is not 2-piecewise testable.

## Example 3



This biautomaton is acyclic of depth 3. It recognizes the 2-piecewise language  $L = L_{ab} \cap L_{ba} = L_{aba} \cup L_{bab}$ .

# Comparing with the Previous Results

When comparing our result with the previous ones we can state the following

## Proposition

*Let  $L$  be a piecewise testable language and let  $M(L)$  be its ( $\mathcal{J}$ -trivial) syntactic monoid where  $\ell$  and  $r$  are the maximal lengths of chains for the orderings  $\leq_{\mathcal{L}}$  and  $\leq_{\mathcal{R}}$ . Let  $\mathcal{C}_L$  be (acyclic) canonical biautomaton of  $L$ . Then*

$$\text{depth } \mathcal{C}_L \leq \ell + r - 2 .$$

# Comparing with the Previous Results

When comparing our result with the previous ones we can state the following

## Proposition

*Let  $L$  be a piecewise testable language and let  $M(L)$  be its ( $\mathcal{J}$ -trivial) syntactic monoid where  $\ell$  and  $r$  are the maximal lengths of chains for the orderings  $\leq_{\mathcal{L}}$  and  $\leq_{\mathcal{R}}$ . Let  $\mathcal{C}_L$  be (acyclic) canonical biautomaton of  $L$ . Then*

$$\text{depth } \mathcal{C}_L \leq \ell + r - 2 .$$

## Proposition

*For each  $n$ , there is a 3-piecewise language  $L$  such that  $\text{depth } \mathcal{C}_L = 4$  and the maximal length of a chain for the ordering  $\leq_{\mathcal{R}}$  is  $r = n$ .*

# Future Research

- Questions concerning  $k$ -piecewise testable languages:

# Future Research

- Questions concerning  $k$ -piecewise testable languages:
  - More precise estimate on  $k$ . (E.g. using other characteristics of the canonical biautomaton.)

# Future Research

- Questions concerning  $k$ -piecewise testable languages:
  - More precise estimate on  $k$ . (E.g. using other characteristics of the canonical biautomaton.)
  - A direct construction of a regular expression for a given biautomaton.

# Future Research

- Questions concerning  $k$ -piecewise testable languages:
  - More precise estimate on  $k$ . (E.g. using other characteristics of the canonical biautomaton.)
  - A direct construction of a regular expression for a given biautomaton.
- Other application of biautomata: Characterization of significant classes of regular languages.
  - For example, in NCMA'11(extended version) we showed:  
A language  $L$  is **prefix-suffix testable** (i.e. a Boolean combination of  $uA^*$ ,  $A^*v$ ,  $u, v \in A^*$ ) if and only if the canonical biautomaton for the language  $L$  satisfies

(for each  $q \in Q$ ,  $u, v \in A^+$ )  $q \cdot u = q \circ v = q$   
implies that  $q$  is absorbing.

# Future Research

- Questions concerning  $k$ -piecewise testable languages:
  - More precise estimate on  $k$ . (E.g. using other characteristics of the canonical biautomaton.)
  - A direct construction of a regular expression for a given biautomaton.
- Other application of biautomata: Characterization of significant classes of regular languages.
  - For example, in NCMA'11(extended version) we showed: A language  $L$  is **prefix-suffix testable** (i.e. a Boolean combination of  $uA^*$ ,  $A^*v$ ,  $u, v \in A^*$ ) if and only if the canonical biautomaton for the language  $L$  satisfies  
$$\text{(for each } q \in Q, u, v \in A^+) q \cdot u = q \circ v = q$$
  
implies that  $q$  is absorbing .
  - We have other characterizations, e.g. level 1/2 (for  $L \neq \emptyset$ : acyclic, a single final state and it is absorbing).

# Future Research

- Questions concerning  $k$ -piecewise testable languages:
  - More precise estimate on  $k$ . (E.g. using other characteristics of the canonical biautomaton.)
  - A direct construction of a regular expression for a given biautomaton.
- Other application of biautomata: Characterization of significant classes of regular languages.
  - For example, in NCMA'11(extended version) we showed: A language  $L$  is **prefix-suffix testable** (i.e. a Boolean combination of  $uA^*$ ,  $A^*v$ ,  $u, v \in A^*$ ) if and only if the canonical biautomaton for the language  $L$  satisfies  
$$\text{(for each } q \in Q, u, v \in A^+) \quad q \cdot u = q \circ v = q$$
  
implies that  $q$  is absorbing .
  - We have other characterizations, e.g. level 1/2 (for  $L \neq \emptyset$ : acyclic, a single final state and it is absorbing).
  - 3/2 ???

# THANK YOU