

Equations in Words

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based on Lothaire: Algebraic Combinatorics on words

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- Monoids and submonoids
- Free hulls
- Conjugacy

2 Equations in three unknowns

- Introduction
- Simple equations
- Classical equation: $(x^n y^m, z^p)$

3 Solutions and graphs

- Principal solutions
- Fundamental solutions

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- factor, prefix, suffix

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- monoid M is **free**, if

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- the minimal generating set of a free submonoid of A^* is a **code**

Free submonoids

Proposition

Let P be a submonoid of A^ , with minimal generating set X . Then the following statements are equivalent:*

- ① *P is free*
- ② *any equality*

$$x_1 x_2 \cdots x_n = y_1 y_2 \cdots y_m, \quad x_i, y_j \in X$$

implies $n = m$ and $x_i = y_i$ for all $i \in \hat{n}$

- ③ *for any $w \in A^*$ it holds that*

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Corollary: An intersection of free submonoids of A^* is free.

Defect theorem

Let $X \subset A^*$ and F the minimal free submonoid containing X . The **free hull** of X is the code generating F .

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α is surjective and not injective \Rightarrow statement holds

Corollary: Each pair of words $x, y \in A^*$ is a code, unless x and y are powers of a single word $z \in A^*$.

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Let $x, y \in A^*$. If

$$x^n = y^m \quad m, n \geq 0,$$

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This can be refined as:

Proposition

Let $x, y \in A^*$, $n = |x|$, $m = |y|$, $d = \gcd(n, m)$. If two powers x^p and y^q have a common prefix of length at least $n + m - d$, then $x, y \in z^*$ for some z .

Conjugacy

Two words $x, y \in A^*$ are **conjugate** if there exist $u, v \in A^*$ such that

$$x = uv, \quad y = vu.$$

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Two words $x, y \in A^+$ are conjugate iff there exists $z \in A^$ such that*

$$xz = zy.$$

More precisely, this equality holds iff there exist $u, v \in A^$ such that*

$$x = uv, \quad y = vu, \quad z \in u(vu)^*.$$

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Equation in words - motivation

Consider two commuting words $x, y \in A^*$,

$$xy = yx,$$

it holds

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The simplest example of equation in words:

- $x, y \cdots$ unknowns
- $xy = yx \cdots$ equation
- morphism α defined by $\alpha(x) = u^n, \alpha(y) = u^p$ satisfies $\alpha(xy) = \alpha(yx) \cdots$ solution of $xy = yx$

Definitions I

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solving finite system of equations \Leftrightarrow solving single equation

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α_1 and α_2 are **equivalent** ($\alpha_1 \approx \alpha_2$), if $\alpha_1 \leq \alpha_2$ and $\alpha_2 \leq \alpha_1$.

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All solutions $\alpha : \Xi^ \rightarrow A^*$ of the equation*

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$$\alpha(x) = (uv)^i u, \quad \alpha(y) = v(uv)^j, \quad \alpha(z) = (uv)^k,$$

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$\rightarrow bce = ebc$ (previous case)

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$\alpha(xy) = u^i, \alpha(z) = u^j$ implies

$$\alpha(x) = u^{jn-im}, \alpha(y) = u^{i-(jn-im)}.$$

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$\rightarrow w$ and t conjugated

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Proof: by contradiction

suppose there is A finite, $u, v, w \in A^*$ not powers of a common word, $n, m, p \geq 2$ such that $u^m v^n = w^p$ and w has minimal length

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trivial cases:

- $p \geq 4$
- $p = 3$ and $n, m \geq 3$

\rightarrow contradiction

Proof II

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$$\rightarrow u^n v_1^2 = (v_2 v_1)^m \text{ and } |w| > |v_2 v_1|$$

Proof II

- ① $n = 2, m \geq 3, p = 3$. It holds $|w| < |u^2| < 2|w|$, and

$$w_1 w_2 w_1 = u^2, \quad w_2 w_1 w_2 = v^m, \quad \text{where } w = w_1 w_2.$$

→ previous case $(xyx, z^n) \rightarrow$ contradiction

- ② $n = m = 2, p = 3$. Similar argument is used for

$$w_1 w_2 w_1 = u^2, \quad w_2 w_1 w_2 = v^2.$$

- ③ $n, m \geq 2, p = 2$. We may assume $w = u^n v_1 = v_2 (v_1 v_2)^{m-1}$, where $v = v_1 v_2$.

$$\rightarrow u^n v_1^2 = (v_2 v_1)^m \text{ and } |w| > |v_2 v_1|$$

→ contradiction with the minimality of $|w|$

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Parametrization

In previous examples - all solutions can be described by finite number of parameters (words, powers) \cdots parametrizable equation

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Hmelevskii (1976):

- all equations in 3 unknowns are parametrizable
- equations with 4 or more unknowns are not parametrizable (gave an example (xyz, ztx))

Principal solutions

Let $\alpha : \Xi^* \rightarrow A^*$ solve (e, e') . It is **principal** if for all solutions $\beta : \Xi^* \rightarrow B^*$ holds

$$\beta \leq \alpha \Rightarrow \beta \approx \alpha.$$

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- those are “easy” to get
- we show that fundamental solutions \approx principal solutions

Fundamental solutions I

We define following morphisms of Ξ^* into Ξ^* :

$$\varphi_{xx'}(y) = \begin{cases} y & \text{if } y \in \Xi \setminus \{x'\} \\ xx' & \text{if } y = x' \end{cases}$$

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Fundamental solutions II

A **transformation attached** to (e, e') is any product $\varphi_n \cdots \varphi_1$ such that for all $i \in \hat{n}$, φ_i is an elementary transformation attached to

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Suppose φ attached to (e, e') satisfies $\varphi(e) = \varphi(e')$. Then φ is called a **fundamental solution** of (e, e') .

Fundamental solutions III

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hence $\varphi = \varepsilon_{zx}\varphi_{yx}\varphi_{zy}$ is a fundamental solution of (xyz, xzx) . It is defined as

$$\varphi(x) = yz, \quad \varphi(y) = zy, \quad \varphi(z) = z.$$

Equivalence

Proposition

Each nonerasing solution $\alpha : \Xi^ \rightarrow A^*$ of the equation (e, e') has a unique factorization*

$$\alpha = \theta \varphi_n \cdots \varphi_1,$$

where $\varphi_n \cdots \varphi_1$ is a factorization of a fundamental solution of (e, e') into elementary transformations and θ is nonerasing morphism.

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Note: $\varphi_n \cdots \varphi_1$ is also a principal solution \rightarrow each solution of a given equation can be divided by some unique principal solution

Graph associated with an equation

- denote by V the subset of $\Xi^* \times \Xi^*$ containing $(1, 1)$ and all pairs (f, f') where f, f' nonempty not having common prefix

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- examples ...

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Assume that

- $|e|_x |e'|_x \leq 1$ for all $x \in \Xi$,
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Remarks:

- similar procedure for solving equations with constants
- Makanin algorithm - decides if a solution exists even if the graph is infinite, nondeterministic but always decides